

ONLINE SYMPOSIA 2 Friday 16<sup>th</sup> July 2021 10am – 12.30pm (AEST)



### **Sleep Disturbances and Dementia**

### WELCOME FROM



Professor Henry Brodaty DCRC, UNSW Sydney



### **CHAIRS**



Dr Claire Burley DCRC, UNSW Sydney



**Dr Nadeeka Dissanayaka** University of Queensland

Event resources will be available on the DCRC website: <u>https://tinyurl.com</u> <u>/4kkjbyu5</u>

### **SPEAKERS & EXPERT PANEL**



John Thorpe Dementia Advocate



Dr Rosemary Gibson Massey University



Dr Melinda Jackson Monash University



Professor Simon Lewis University of Sydney



For more information on DCRC visit www.dementiaresearch.org.au

DCRC Dementia Centre for Research Collaboration

### Changed Behaviours Special Interest Group (CB-SiG) ONLINE SYMPOSIUM 2 PROGRAM SLEEP DISTURBANCES IN DEMENTIA Friday 16<sup>th</sup> July 2021, 10am – 12.30pm AEST



The symposia will be recorded for broad circulation. Data or information that is not ready for wide circulation will be censored from the recording before distribution. <u>https://dementiaresearch.org.au/projects/sig-changed-behaviours/</u>

Time	Action and speakers
10:00am – 10:05am	Claire Burley
(5 minutes)	- General housekeeping, introduce CB-SiG & Co-Chair
10:05am – 10:10am	Professor Henry Brodaty, Director DCRC & Co-Director CHeBA, UNSW Sydney
(5 minutes)	- Welcome
10:10am – 11:00am	Session 1: Expert presentations part 1
	SESSION CHAIR: Dr Claire Burley , UNSW Sydney
10:10am – 10:35am	Presenter 1: John Thorpe
(20-min talk, 5-min	Dementia Advocate
Q&A)	Talk Title: Sleep before and after a dementia diagnosis
10:35am – 11:00am	Presenter 2: Dr Melinda Jackson, Senior Lecturer and Psychologist
(20-min talk, 5-min	Affiliation: Sleep, Cognition and Mood Lab, Monash University
Q&A)	Talk Title: Snoring to oblivion: the role of Obstructive Sleep Apnoea in the
	pathogenesis of cognitive impairment and dementia
11:00am – 11:10am	Break for 10 minutes
(10 minutes)	
11:10am – 12:00pm	Session 2: Expert presentations part 2
	SESSION CHAIR: Dr Nadeeka Dissanayaka, University of Queensland
11:10am - 11:35am	Presenter 3: Dr Rosemary Gibson, Senior Lecturer
(20-min talk, 5-min	Affiliation: Sleep/Wake Research Centre, Massey University, New Zealand
Q&A)	Talk Title: "Even my tired is tired": accounts of sleep disruptions from families living with dementia in New Zealand



Email: c.burley@unsw.edu.au Address: DCRC, UNSW Sydney, Level 3, AGSM Building, Gate 11, Botany Street, Kensington, NSW 2052, Australia



Presenter 4: Professor Simon Lewis, Professor of Cognitive Neuroscience
Affiliation: Brain and Mind Centre, University of Sydney
Talk Title: Clinical aspects of sleep and dementia
EXPERT PANEL DISCUSSION & AUDIENCE Q&A (all speakers & chairs)
'Where do we need to go next with dementia care, research and policy focused
on sleep disturbances in dementia?' (suggested prompt question if necessary)
Moderated by Professor Henry Brodaty, DCRC Director, UNSW Sydney
Professor Henry Brodaty
<ul> <li>Summary and future directions</li> </ul>
Dr Claire Burley
– Close symposium



Email: c.burley@unsw.edu.au Address: DCRC, UNSW Sydney, Level 3, AGSM Building, Gate 11, Botany Street, Kensington, NSW 2052, Australia











- About 1-in-2 older adults have sleepdisordered breathing
- Sleep-disordered breathing associated with poor sleep
- **Poor sleep associated with worse** cognition









DCRC

- Sleep disorders associated with dementia
- Are sleep disorders a risk factor for dementia?
- Slow wave sleep associated with amyloidβ protein clearance from brain in animal and human studies
- Does incipient dementia cause sleep disorders?





## Sleep apnoea and dementia



## Sleep apnoea $\rightarrow$ dementia?

Dementia  $\rightarrow$  sleep apnoea?





- Can correcting insomnia and sleepdisordered breathing prevent or delay cognitive decline?
- Does incipient dementia cause sleep disorders?
- (Dementia may be associated with) increased sleep too!)









As dementia progresses.... .....The architecture of sleep becomes disrupted

- More broken sleep
- Less efficient sleep
- Diurnal rhythm lost







- Effects on family carers
  - Directly disrupted sleep
  - Indirectly depression  $\rightarrow$  sleep  $\Delta$



## ep → sleep ∆



## **Sleep and Lewy Body Dementia**



- Often earliest symptom of LBD
  - Sleep movement disorder restless legs
  - Vivid frightening dreams





## Sleep and dementia: other factors



- Pain
- Noise
- Neighbours
- Incontinence
- Anxiety, fear
- Depression











## Sleep: Before and After Dementia diagnosis

I've slept for a quarter of my life but I am no expert

So...my early life My adult years Now.

Going to sleep...sleeping...other factors

- In bed for 2-4 weeks and had very strong pains in my legs. (Called growing pains)
- Could not sleep easily or for long periods so used to wake a lot.

- From the ages of 5-10 I had tonsillitis every year.
- No antibiotic (allergic to penicillin) so every year I had rheumatic fever.

 My mum bought me an old valve type radio (no transistors – this was 1950s). The radio helped to mask my pain. Used to listen to the music and it helped a lot. • When I recovered each year I went to school, played every sport (a rubbish swimmer) and was normally in the top three in the class out of about 50-55 kids.



• I was a happy, positive kid in a great family.

Every person has a different story with Dementia

And every person has a different story with sleep

### Going To Bed Vs Going to Sleep

Going to Bed was easy but falling asleep became very difficult so I used to Organise my dreams from about age 8

How dare my brain randomly select my topics/dreams! I chose my dreams in advance

- 1. Where would I be in my dreams?
- 2. When would I be i.e. What time period/year?
- 3. Who would I see and be with?
- An example might be Ancient Rome with Julius Caesar in Alexandria with Cleopatra in about 45BC.
- Another with Florence Nightingale in her rooms after her return to Britain from the Crimean war.
- Another with Robin Hood in Nottingham in 1230. (Didn't have to be real events)

During the day I was encouraged to find out more (Real and fictional)

## To sleep, perchance to dream

As I got older I continued this habit of falling to sleep constructively. Not every night but it did become a habit especially when I was having rheumatic fever or pain.

I continued this pattern of falling to sleep this way throughout my adult life.

### TIAs and Strokes

From about 2003 I started having a series of TIAs. Initially I did not know that they were causing the problems I was having but I was often very tired as a result of them. About this time I started with CPAP for snoring and disturbed sleep In 2009 I had heart surgery (double bypass) which was followed by a series of TIAs and then in 2019 I had my second bypass. At that point I had a massive number of strokes (Hundreds).

Later I found that my scans showed one third of my brain had suffered significant damage

When I was in hospital the medication before and after surgery sent me "loopy" (the "official term!).

I remember talking to the nurses about going to the "theatre".

I asked to see the rehearsal area and discussed the performance! Was accompanied for a walk to see the props room. The nurses were lovely accommodating a crazy guy. Immediately following the surgery I thought the medical staff were trying to kill me and other patients with tablets.

Drugs helped me sleep and reduced post operative pain but I was terrified.

### Post operative

Pain and very poor sleep. Exercise - Mainly walking. Regular use of CPAP machine. Sleeping 10+ hours a day I was increasingly disorientated.

No diagnosis of dementia for about a year

No contact with anyone with or about dementia for 18 months

### Now

Very difficult to get to sleep so process changed.

- 1. I listen to Audible story on my phone and then listen to relaxing music.
- 2. Set my alarm for morning but often don't fall to sleep for hours.
- 3. I still set my dream goals of:
  - 1. where will I be in the dream?
  - 2. Who will I meet in the dream?
  - 3. What event(s) will happen in the dream?

### Dementia and Sleep.

Now...

Increasingly having difficulty with walking, reaching and grabbing objects, agitation and frustration.

1 am more awake and alert at night and much less so during the day.

Thanks for listening

I will try to answer any question you have.



TURNER INSTITUTE FOR BRAIN AND MENTAL HEALTH

## **Snoring to oblivion:** the role of obstructive sleep apnoea in the pathogenesis of cognitive impairment and dementia

Melinda L. Jackson, PhD

Turner Institute for Brain and Mental Health Monash University

⑦ @ DrSleepPsych

## Introduction

- Dementia affects around 400,000 Australians
- It is the second leading cause of death worldwide
- Estimated prevalence over 1 million by 2050
- Alzheimer's Disease (AD) is characterised by memory impairment and accumulation of beta-amyloid (Aβ) plaques in the brain
- Currently, there is no cure
- Identification and treatment of modifiable lifestyle, health and medical risk factors is the only available option



## Sleep and ageing

- There is a natural change in structure, timing and quality of sleep as we age
- Sleep disorders, including insomnia, circadian rhythm disturbance and obstructive sleep apnoea are common in older adults and in persons with dementia



Nedergaard & Goldman, Science 2020

## **Sleep and the glymphatic hypothesis**

- Sleep is an important process for the clearance of extracellular metabolites, including beta-amyloid, that accumulate during wakefulness.
- Sleep disruption (SWS) may increase Aβ deposition by:

i) increasing neuronal activity thus increasing A $\beta$  production; and/or

ii) impeding clearance of metabolites from the interstitial fluid

• The glymphatic system degrades with age, and is suppressed in response to a number of disorders

### Sleep Drives Metabolite Clearance from the Adult Brain (2013) Science

Lulu Xie,<sup>1\*</sup> Hongyi Kang,<sup>1\*</sup> Qiwu Xu,<sup>1</sup> Michael J. Chen,<sup>1</sup> Yonghong Liao,<sup>1</sup> Meenakshisundaram Thiyagarajan,<sup>1</sup> John O'Donnell,<sup>1</sup> Daniel J. Christensen,<sup>1</sup> Charles Nicholson,<sup>2</sup> Jeffrey J. Iliff,<sup>1</sup> Takahiro Takano,<sup>1</sup> Rashid Deane,<sup>1</sup> Maiken Nedergaard<sup>1</sup>†





## **Obstructive Sleep Apnoea (OSA)**

- OSA affects ~ 1 billion people worldwide (Benjafield et al., 2019)
  - ~24% adult males and 9% adult females
- OSA is caused by airway collapse or airway obstruction
  - Apnea (< 25% flow) & Hypopnea (< 50% flow)
  - Hypoxia (oxygen desaturation, increased CO<sub>2</sub>)
  - Frequent Awakenings
- Risk factors
  - Male gender: 2.5 x more likely to have OSA
  - Age: ~30% in people over 65
  - Obesity: visceral adiposity strongest risk factor
  - Craniofacial features





Normal Breathing - Airway is open - Air flows freely to lungs

Obstructive Sleep Apnea - Airway collapses - Blocked air flow to lungs


## **OSA and dementia risk**

- OSA results in both sleep fragmentation and intermittent hypoxia – both of which can cause neuroinflammation, cell death and upregulation of Aβ.
- Patients with OSA
  - **1.7-2.3 times increased risk of all-cause dementia** (Chang et al., 2013; Lutsey et al., 2018)
  - 26% more likely to develop cognitive impairment (Leng, et al. 2017)
- Persons with AD are 5 times more likely to present with OSA (Emamian et al., 2016)





## **OSA and cognitive deficits**



Factors contributing to the complexity of the relationship between OSA and cognitive dysfunction

Individual differences

Genetic differences

Premorbid cognitive functioning

OSA onset (i.e. early or late disease onset)

Type and severity of co-morbidity (including metabolic and vascular consequences)

Age and age-related disease factors (e.g. age-related changes in arousal and upper airway morphology)

Gender (and pre/post menopause, if female)

Mood

Response to, and uptake of, treatment

Experience of sleepiness and fatigue

Changes due to, and adaptation to, OSA (e.g. changes in cerebrovascular responses to hypoxia, changes in macro and micro-circulation in the brain)

Levels of hypoxia and sleep disruption

Difficulties of measurement

Quantifying OSA nocturnal disease severity Quantifying cognitive deficits

Quantifying length of disease duration

Statistical issues (e.g., sample size and appropriate analysis)

Quantifying sleepiness and fatigue



Bucks et al. 2013 *Respirology* Cross et al. 2017 *Psychological Review* 

### **OSA and structural brain changes**

A. Location of significant convergence in the right amygdala/hippocampus (p < 0.05, cFWE corrected)



amygdala/hippocampus (p < 0.05, cFWE corrected)

B. Location of significant convergence in the right insula (p < 0.05, cFWE corrected)



Significant grey matter reduction in right middle temporal gyrus in OSA patients compared to healthy controls (t = 4.05, p<0.05 corrected for topological false discovery rate across the entire brain)

### **OSA** and amyloid burden



### Obstructive Sleep Apnea Severity Affects Amyloid Burden in Cognitively Normal Elderly

A Longitudinal Study

Ram A. Sharma<sup>1\*</sup>, Andrew W. Varga<sup>2\*</sup>, Omonigho M. Bubu<sup>3</sup>, Elizabeth Pirraglia<sup>1</sup>, Korey Kam<sup>2</sup>, Ankit Parekh<sup>4</sup>, Margaret Wohlleber<sup>1</sup>, Margo D. Miller<sup>1</sup>, Andreia Andrade<sup>1</sup>, Clifton Lewis<sup>1</sup>, Samuel Tweardy<sup>1</sup>, Maja Buj<sup>1</sup>, Po L. Yau<sup>1</sup>, Reem Sadda<sup>5</sup>, Lisa Mosconi<sup>1</sup>, Yi Li<sup>1</sup>, Tracy Butler<sup>1</sup>, Lidia Glodzik<sup>1</sup>, Els Fieremans<sup>6</sup>, James S. Babb<sup>6</sup>, Kaj Blennow<sup>7,8</sup>, Henrik Zetterberg<sup>7,8,9</sup>, Shou E. Lu<sup>10</sup>, Sandra G. Badia<sup>11,12,13</sup>, Sergio Romero<sup>14,15</sup>, Ivana Rosenzweig<sup>16,17</sup>, Nadia Gosselin<sup>18,19</sup>, Girardin Jean-Louis<sup>20</sup>, David M. Rapoport<sup>2</sup>, Mony J. de Leon<sup>1</sup>, Indu Ayappa<sup>2</sup>, and Ricardo S. Osorio<sup>1</sup>

### Serum amyloid-beta levels are increased in patients with obstructive sleep apnea syndrome

Xian-Le Bu<sup>1,\*</sup>, Yu-Hui Liu<sup>1,\*</sup>, Qing-Hua Wang<sup>1</sup>, Shu-Sheng Jiao<sup>1</sup>, Fan Zeng<sup>1</sup>, Xiu-Qing Yao<sup>1</sup>, Dong Gao<sup>2</sup>, Ji-Chuan Chen<sup>3</sup> & Yan-Jiang Wang<sup>1</sup>

#### Obstructive Sleep Apnea is Associated With Early but Possibly Modifiable Alzheimer's Disease Biomarkers Changes

Claudio Liguori, MD<sup>1</sup>; Nicola Biagio Mercuri, MD<sup>1,2,3</sup>; Francesca Izzi, PhD<sup>1</sup>; Andrea Romigi, PhD<sup>4</sup>; Alberto Cordella, MD<sup>2</sup>; Giuseppe Sancesario, MD<sup>3</sup>; Fabio Placidi, PhD<sup>1</sup>

> Obstructive Sleep Apnea Decreases Central Nervous System–Derived Proteins in the Cerebrospinal Fluid

Yo-El S. Ju, MD,<sup>1</sup> Mary Beth Finn, BS,<sup>1,2,3</sup> Courtney L. Sutphen, BS,<sup>1,2,3</sup> Elizabeth M. Herries, BA,<sup>4</sup> Gina M. Jerome, MS,<sup>1,2,3</sup> Jack H. Ladenson, PhD,<sup>4</sup> Daniel L. Crimmins, PhD,<sup>4</sup> Anne M. Fagan, PhD,<sup>1,2,3</sup> and David M. Holtzman, MD<sup>1,2,3</sup>

#### Severe Obstructive Sleep Apnea Is Associated with Higher Brain Amyloid Burden: A Preliminary PET Imaging Study

Melinda L. Jackson<sup>a,b,\*</sup>, Marina Cavuoto<sup>b,d</sup>, Rachel Schembri<sup>f</sup>, Vincent Doré<sup>c,g</sup>, Victor L. Villemagne<sup>c,e</sup>, Maree Barnes<sup>b,e</sup>, Fergal J. O'Donoghue<sup>b,e</sup>, Christopher C. Rowe<sup>c</sup> and Stephen R. Robinson<sup>b,d</sup>

 N=34 OSA patients and N=12 age- and sex-matched healthy controls

OSA: OSA diagnosis (AHI>10 events/hr); treatment naïve

Controls: No sleep or circadian disorder, no OSA (confirmed with overnight sleep study)

	OSA Mean (SD)	Controls Mean (SD)
Age (years)	57.5 (4.1)	58.5 (4.2)
Gender (M/F)	19/15	6/6
AHI (events/hr)	40.4 (17.6)	2.9 (1.8)
BMI***	35.6 (8.4)	24.1 (3.1)
ESS**	9.2 (5.7)	4.6 (2.9)
Predicted FSIQ	106.8 (8.4)	109.4 (6.0)
MMSE	29.0 (1.3)	29.5 (0.5)
HADS depression***	4.9 (3.7)	1.4 (1.6)
APOE e4	27%	42%

\*\* *p* <.01, \*\*\* *p* <.001

### Global brain amyloid burden

- Significantly higher Aβ in severe OSA compared to no-mild OSA, controlling for age (p=0.002)
- Higher Aβ associated with higher AHI, lower sleep efficiency and less N3 sleep (p's=0.04)
- Trend level association between vascular risk score and A $\beta$  (p=0.06)

no o c



5

severe OSA Ono-moderate OSA

## Discussion

- These preliminary data suggest that individuals with untreated OSA have and higher brain Aβ burden than age-matched controls
  - Supports previous studies demonstrating increased brain Aβ burden in OSA samples (e.g. Yun, et al., 2017, Elias et al., 2018)
- AHI appears to be associated with greater Aβ burden, suggesting the driver of Aβ accumulation may be the severity of apnoeic events
- Hypertension was more common in OSA group (9 in OSA vs 1 in controls)
  - Need to examine the impact of comorbidities



**Figure 4.** Obstructive sleep apnea–related arousals may worsen sleep quality and increase amyloid deposition in a feed-forward cycle.  $A\beta$  = amyloid  $\beta$ ; CSF = cerebrospinal fluid; ISF = interstitial fluid; OSA = obstructive sleep apnea.

han Of Or any

# Does treatment of OSA improve cognitive and neural impairments?



### **CPAP treatment in cognitively-normal OSA**

- Meta analyses (e.g., Krysta et al., 2013; Pan et al., 2015)
  - Impact of CPAP treatment for domains of processing speed, attention, vigilance, working memory, memory, verbal fluency and visuo-construction ability.
  - A small, significant effect of CPAP on attention (*d* = 0.19) and sleepiness (*d* = 0.30–0.53). NS for other cognitive domains.
- More recent studies have shown some improvement in memory function with CPAP (McMillan et al., 2015; Jurádo-Gámez et al., 2016).
- Cross-over RCT, 3-months of CPAP in 88 OSA patients normalised verbal memory (Jackson et al., 2018).



#### CIENTIFIC INVESTIGATIONS

Neurobehavioral Impairment and CPAP Treatment Response in Mild-Moderate Obstructive Sleep Apnea

Halada L. Jaskasa DhDI2 D. Davis MaConv. HD2 Cichhan Gasha DhDt Maria Barnes HDDD11

### **CPAP treatment in MCI and AD**

- 5 small RCTs of short-term CPAP in AD patients (Ancoli-Israel et al., 2008; Ayalon et al., 2006; Chong et al., 2006; Cooke et al., 2009).
  - Improvements in verbal episodic memory and processing speed
  - 1 years follow-up: those who continued CPAP displayed less deterioration of executive functioning and processing speed, with moderate to large effect size
- Observational study of CPAP use in AD patients over 3 years significantly slowed cognitive decline (Troussiere et al., 2014)
- Improvements in psychomotor and processing speed in MCI + OSA patients after 1 year of CPAP (Richards et al., 2019)
- CPAP treatment associated with lower odds of incident diagnoses of AD (OR=0.78) (Dunietz et al., 2021).

### **CPAP treatment on brain biomarkers**

- Emerging work that CPAP has broader benefits for brain integrity
  - Normalises brain functional connectivity (Schultz et al., 2000)
  - Decreases oxidative stress biomarkers (Prilipko et al., 2014)
  - Reversal of white matter lesions (Castronovo et al., 2014)
  - Normalisation of CSF-derived Aβ (Ju et al., 2019)
- Currently lacking robust clinical trials of CPAP treatment for cognitive decline.



### REShAPED Trial: REducing Sleep Apnoea for the PrEvention of Dementia: a multi-site feasibility RCT

- To test feasibility and acceptability for a full-scale trial by:
  - Confirming that the *prevalence* of ODI >10 in target group.
  - Demonstrating *acceptability* by ≥50% of eligible participants agreeing to be randomized.
  - Alleviating *hypoxic burden* using our *CogSleep OSA* intervention at 6-month and 2-year follow-ups.
  - Determining *tolerability*
  - Obtaining *effect size* estimates (and 95% CIs) on intended primary outcome
  - Exploring the mechanistic factors in relation to variation in memory and ODI over the 6-month and 2-year follow-up.





## Discussion

- There is growing evidence that OSA plays a causal role in the development of AD
- Individuals with untreated OSA have poorer cognitive performance, reduced brain integrity and higher brain amyloid burden than age-matched controls
- Growing evidence that CPAP may improve brain health larger, pragmatic trials are needed
- Consideration of confounding factors: age, cognitive reserve, length of OSA, BMI, genetic factors, CVD, depression

## **Clinical considerations**

- Increase screening for OSA in geriatric and memory clinic settings
  - Berlin Questionnaire, STOP-BANG, Epworth
     Sleepiness Scale
- Increase awareness of OSA and other sleep issues in the community – carers and those at-risk
  - Importance of early intervention
  - Sleep Health Foundation website
- Need to assist patients when starting treatment for OSA to ensure adequate usage





 Up to half the people with dementia ha difficulty with deeping.
 Good ideep is important for people with dementia to improve daytime function.
 Patients with dementia might be tired of the day, but not be able to sleep well at all to be to keep the same sleeg/value and routine as before the dementia bege.
 Some dementia drugs may also affect a It is good to nap dump the day and the time for this is before lunchtime.

#### How can we best understand dementia?

Dementia causes a set of problems that are related to each other. These include memory loss, trouble commun There might be difficulty with recognising people they know, even if they are close friends and family members. terms of a change in how the person experiences the world. The aim is to try to understand what the person is environment can be adjusted to be safe and not distress them. Since no two people have dementia in the exact same for any two people with dementia.

How is sleep different for people with dementia?

## Acknowledgments



AUSTIN

Emily Pattison Julie Tolson Dr. Rachel Schembri

Prof. Chris Rowe A/Prof Fergal O'Donoghue A/Prof. Victor Villemange Dr. Vincent Dore

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Dr. Marina Cavuoto

Dr. V Vien Lee







**BAS** Institute for Breathing and Sleep



UNIVERSITY OF NEW ZEALAND

### "Even my tired is tired" Accounts of sleep disruptions from families living with dementia in New Zealand

#### Rosie Gibson PhD, RPSGT

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New Zealand

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SLEEP/WAKE RESEARCH CENTRE MOE TIKA ~ MOE PAI

### **Research Aims**

 Describe the sleep of older New Zealanders Analysis of national data sets & pre-existing data sets



 Gain insight into beliefs, attitudes & behaviours of older people, including people with dementia & carers

Focus groups, open ended survey items, interviews & case studies

- Explore sleep as a predictor for admission into aged residential care Interviews with family carers & analysis of formal assessment data
- Represent the changing sleep experience of family carers
   *Nationwide survey & interviews*
- Better understand & manage sleep disordered breathing Focus groups with older patients diagnosed & treated, future studies regarding positional management
- Design non-medical interventions & resources
   Trial of interventions for people with dementia & carers;
   Future Ageing Well & health promotion projects

https://www.sleepwake.ac.nz/projects/sleep-in-aging-and-dementia/





Chinoy, E. D. (2015); Wright, K. P., & Frey, D. (2008)

**Reduced time cues** 

synthesis within pineal

Gibson, R., Gander, P., Jones, L. & Dowell, A. (2016)

### Sleep/Wake Cycle Healthy Older Adult



### Sleep/Wake Cycle Person With Dementia



Gibson, R., Gander, P., Jones, L. & Dowell, A. (2016)





Gibson, R., Gander, P., & Jones, L. (2014)

# Including the voice of care recipients



- **Daytime sleepiness:** "I'm conscious, you know of falling asleep with visitors and things like that, but I can't stop it." (PWD gp.3)
- **Confused awakenings:** *"I have to get up and look for the doors and make sure that everything is I suppose I am walking in [my] sleep and go back and I sleep."* (PWD gp.1)
- Nightmares: "I can't remember the nightmares, but I know I am absolutely terrified when he wakes me up" (PWD gp.1);
   "I can't wait to get up in the merning can 121" (DWD gp.2)

"I can't wait to get up in the morning can I?!" (PWD gp.2)

• Overactive mind: "If I could switch my brain off and stop thinking I would be able to go to sleep" (PWD gp.1)

Gibson, R., Gander, P., & Jones, L. (2014).





### **Sleep of Carers**



- **Daytime sleepiness:** "Just tired really yes, just tired all day. But you still have to do things because it is your responsibility really, you are the carer. I find sometimes it is a bit hard." (carer gp.2)
- **Restlessness:** " ... he has been stretching out and kicking me and knocking me around, was terrible. He is terribly apologetic of course!" (carer gp.2)
- Frustration: "I find that sometimes \_\_\_\_\_ takes so long [with the light switch] that I get quite churned up and that is extremely hard to go back to sleep" (carer gp.2)
- **Providing support:** "When she is having had a nightmare I am usually woken up. I can hear screaming ,you know, so I wake up and I will hold her for a while and then she goes off to sleep. But sometimes it happens three or four times a night." (carer gp.1)

Gibson, R., Gander, P., & Jones, L. (2014)



### Sleep and informal dementia care

Postal survey 526 carers, 66% female, age 23-96 yrs, (mean 74), Care recipients: 87% spousal, 42% female, age 53-99 yrs (mean 78)

- 1. Themselves and situation: age gender, ethnicity, living standards (LSCAPE-6), who support (relationship, age, gender, ethnicity), years supporting, type of diagnosis, dementia-related symptoms, time of day required, respite and permanent care considerations
- 2. Sleep of carer: Insomnia Severity Index (7 items), place of sleep, diagnosis of sleep disorder, and use of sleeping medications
- 3. Sleep of care recipient: Sleep Disturbance Index
- 4. Health of carer: general health and pain (SF12), health conditions, and medications



#### Sleep of Care Recipient Sleep Disorders Inventory AD (Tractenberg, 2003)





"I think I could have coped if I was sleeping better" Sleep across the trajectory of family-based dementia care



**Aim:** To represent sleep experience of informal carers across the trajectory of dementia care and transitions into residential care

Method: Semi-structured interviews with 20 carers who had transitioned their family member with dementia into formal care (≤2yrs). 13 face-to-face, 7 telephone (rural)

**Qualitative analyses**: coding of transcripts, writing case studies, and workshops to construct sleep-related themes and underlying narratives





### Sleep got progressively worse

In those earlier days it wasn't so bad. I wouldn't know often if he'd been in and out and sometimes I did, and sometimes I didn't and then he started, he would just. He would go to bed really early. He'd be awake early, you know at 11 o'clock. He'd go to the toilet. He couldn't go back to sleep. Or he'd get up and wander round. And then he might go back to bed. And then it just became progressively worse really.

.....And then I – as things progressed I'd find him in the shower. I'd find. He will have left the house. He'd be out in the shed looking for things that didn't exist. He would be checking the house because he was hallucinating a lot. He thought that people were in the house. And so when it got to the stage of him getting up and down a lot, that's when my sleep was really affected. (Nathalie, 64)



### Didn't realise how tired I was Sleep should have been prioritised

"I don't think I could have gone over, gone on much longer. I think I probably would have just collapsed and died. That's how tired I felt. ...And yet while he was here, and I was looking after him I didn't feel it." (Pamela, 75)

"You need to sort out your cut off point....really it was to do with the sleep deprivation.... you just adjust slightly each time as well. Okay cos initially I think oh, god I've only had five hours sleep. And I'd think that was terrible. And now, or then as time got on. If I got two hours in a row, I was like yay! You know, like, so, you do of course. And I guess you do that with children too. You have periods where you know, sleep, sleep isn't, isn't the main thrust really

(Nathalie, 64)

SUBER WAKE RESEARCH CENTRE



### Interviews summary

- **Previous sleep** identity foundation of current narratives
- During dementia care: sleep became progressively worse. unpredictable nature of dementia-symptoms, routines and the 24/7 responsibilities, state of high alert
- Attempted to facilitate better sleep and wellbeing for family member, often sacrificing their own self-care. Retrospectively exhausted.
- **Transition period:** either had a period of crashing, or the busy momentum continued. Grief was common throughout.
- **Post-transition:** sleep disruptions associated with insomnia, poor sleep habits, or nightmares. Optimistic sleep would improve with grieving and many were enjoying the luxury of sleeping in their own time.

Gibson, Helm, Breheny, Gander – in production



### **Pilot of an Intervention**

## Monitoring and questionnaires:



#### **5 Week intervention**





<u>CIEED/MAVE DECENDOL CENTRI</u>

96661 / WANE NEJERNET GENTR



SLEEP/WAKE RESEARCH CENTRE MOE TIKA ~ MOE PAI

### Feasibility Trial



- 6 PWD had improved sleep efficiency (0.6-3.6%)
- 5 PWD had improved subjective sleep ratings (1-3 PSQI points)
- Cognition (MMSE) and Quality of life scores also improved for some but many deteriorated across trial
- 5 carers had improved subjective sleep
- 3 also had improvements to depression scores
- 6 carers indicated increased care-related stress
- Diverse population
- Case studies indicated that some benefitted

Gibson, R. H., Gander, P. H., Jones, L. M., & Dowell, A. C. (2016).


## Case: Adam and Claire

Adam: 82 year old with LBD,



plus Parkinson's and lung disease, MMSE = 5

- **Claire:** 68 year old who worked full time plus provided support to Adam
- **Time 1**: Waking up in night, coughing snoring, bad dreams, restless legs, up in the night, confusion disorientation, waking Claire

Gibson, R. H., Gander, P. H., Jones, L. M., & Dowell, A. C. (2016).



### Non-pharmacological interventions trial Case study: Adam's Sleep Time1



Gibson, R. H., Gander, P. H., Jones, L. M., & Dowell, A. C. (2016)

## Adam and Claire: Time 2

- Still rated sleep poorly, but severity and distress associated with disruptions improved
- No longer waking as early
- Less sleepy in the day
- Frequency of memory-related problems improved
- Quality of life rated more highly



## Sleep, Dementia & Caregiving Some key findings



- Sleep problems of PWD and carers are varied, diverse and complex
- It is feasible for PWD and carers to use non-pharmacological interventions at home
- Improving sleep can positively influence waking life
- Carers sleep undergoes changes across the caregiving trajectory and ٠ post caregiving responsibilities
- Dementia-related research has unique challenges

Gibson, R., Gander, P., & Jones, L. (2014) Gibson, R., Gander, P., Jones, L., & Dowell, A. (2016) Gibson, R. & Gander, P. (2020)



## Thank you

"Overall I can only draw one conclusion, that's my conclusion of course, the whole way of living affects your sleep, affects your rest, and I realise now that there must have been times that, yeah, I was tired and didn't really function as well as I should." (Jacob, 87)

#### My contact: r.gibson@massey.ac.nz, 04 9793258

#### Acknowledgements

- Key Collaborators: Prof. Philippa Gander, A/Prof Mary Breheny, Prof. Ngaire Kerse
- The organisations within Alzheimer's NZ and Dementia NZ
- Dementia Wellington
- Participants







## Managing Sleep and Wake Disturbances in Dementia

Simon Lewis Professor of Cognitive Neuroscience

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University of Sydney

# Sleep

- Active process
   Depty going on when
  - -Plenty going on when the lights go out
- Regulated with wakefulness

   Multiple systems
- Non-Sleep factors
  - -Sleep apnoea
  - -Bladder
  - -Mood
  - -Medications

## Sleep-Wake Regulation Systems

- Circadian
  - Daily cycle
  - Operating over changing Seasons
- Sleep Homeostat
  - Drive to wakefulness or sleep
  - Individual day
- Ultradian
  - Sleep stages throughout the night

## **Sleep-Wake Regulation**

Circadian disruption

Insomnia and Somnolence
Sundowning?

Circadian control

Melatonin

## Melatonin Regulation



#### Benarroch Neurol 2008

## **Melatonin-Cortisol Regulation**



## Melatonin

- Natural hormone in the brain
- Levels rise with falling light
- Involved in regulation of circadian system
  - Sleep onset/offset
  - Thermoregulation
  - Appetite
- Concentrations diminish with ageing
- Onset changes with ageing

## **Clock Genes**

- Every cell on 24 hour cycle
  - Regulated by Clock Genes (e.g. Bmal1, Per1 & 2)
  - Cellular homeostasis to clear aggregated proteins

## **Sleep Homeostat**



Adenosine as a by-product of ATP? Analgoue - Caffeine

© University of Zurich

## Hypnogram - Ultradian



© 2017 Ubiquitous Computing

# Ascending Arousal & Sleep Promoting Systems

- Neural circuits
  - Reciprocal inhibitory connections
  - AAS↔VLPO (hypothalamus)
- Neurotransmitters
  - Monoaminergic, Dopaminergic, Cholinergic,
     GABAergic, Galaninergic, Orexinergic
- Homeostatic drivers
  - Adenosine?

## Sleep in Ageing



# Sleep in Ageing

- Poor consolidation of deeper NREM stages
  - Declarative memory (knowing what)
  - Remembering facts and events
- Less REM
  - Procedural memory (knowing how)
  - Learned actions





Contents lists available at ScienceDirect

Journal of the Neurological Sciences

journal homepage: www.elsevier.com/locate/jns



Sleep-wake disturbances in common neurodegenerative diseases: A closer look at selected aspects of the neural circuitry  $\overset{,}{\Join}, \overset{,}{\nleftrightarrow} \overset{,}{\nleftrightarrow}$ 

George Zhong <sup>a</sup>, Sharon Linda Naismith <sup>a</sup>, Naomi Louise Rogers <sup>b</sup>, Simon John Geoffrey Lewis <sup>a,\*</sup>

- 1. Pineal 2. SCN 3. VLPO 4. LH
- 5. TMN 6. vIPAG 7. DRN
- 8. LDT
- 9. PPT 10. LC
- 11.LPT
- 12. SLD





## Circadian disturbance in AD

- Sleep-wake disturbance common
  - ->40% (insomnia, sundowning, somnolence)
- Pathology in SCN
  - Disrupted circadian rhythms
  - Also seen in MCI
- Bidirectional pathophysiology
  - Sleep—wake cycle regulates levels of betaamyloid in the brain
  - Animal models manipulate sleep to clear beta-amyloid

# Sleep disruption and pathogenesis in AD



Musiek et al Exp Mol Med 2015



[Intervention Review]

#### Pharmacotherapies for sleep disturbances in dementia

Jenny McCleery<sup>1</sup>, Ann L Sharpley<sup>2</sup>

**Citation:** McCleery J, Sharpley AL. Pharmacotherapies for sleep disturbances in dementia. *Cochrane Database of Systematic Reviews* 2020, Issue 11. Art. No.: CD009178. DOI: 10.1002/14651858.CD009178.pub4.

- Hypnotics (BDZs and Z-drugs)
   No RCTs
- Melatonin (up to 10 mg) or Receptor agonists
   No benefit
- Trazadone and Orexin Antagonists
  - May be beneficial with no harmful effects
  - Large trials required



[Intervention Review]

#### Pharmacotherapies for sleep disturbances in dementia

Jenny McCleery<sup>1</sup>, Ann L Sharpley<sup>2</sup>

**Citation:** McCleery J, Sharpley AL. Pharmacotherapies for sleep disturbances in dementia. *Cochrane Database of Systematic Reviews* 2020, Issue 11. Art. No.: CD009178. DOI: 10.1002/14651858.CD009178.pub4.

### Trazadone

- Serotonin receptor antagonist and reuptake inhibitor (SARI)
- Psychiatrist
- Orexin Antagonists
  - Suvorexant
  - Sleep Physician

# Treating sleep-wake disturbance in AD

- Sundowning
   BPSD strategies
- Circadian manipulation
  - No evidence to support: Melatonin, Bright Light
- Exclude/Treat OSA
  - OSA may exacerbate/drive dementia<sup>1</sup>
- Cholinesterase inhibitors
  - May remediate sleep architecture possibly via cholinergic systems critical to REM sleep

1. Dunietz et al Sleep 2021

# Treating sleep-wake disturbance in AD

- Insomnia
  - Hypnotics (BDZ and z-drugs)?
  - Sedation, confusion, falls, OSA
- Antidepressants
  - May help insomnia but may suppress REM
  - Sedation, cardiovascular
- Antipsychotics (atypical)
  - Sedating, may worsen sleep-wake disturbance
  - Increase mortality (cardio/cerebrovascular)

# Sleep-wake complaints in PDD and DLB

- Insomnia (Early, Mid and Late)
- Daytime Somnolence (Medications, OSA)
- Motor (Wearing Off, Dystonia)
- Non-motor (Mood, Nocturia, OSA)
- REM Sleep Behaviour Disorder
- Restless Legs Syndrome

#### RESEARCH ARTICLE

#### The *Movement* Disorder Society Evidence-Based Medicine Review Update: Treatments for the Non-Motor Symptoms of Parkinson's Disease

Klaus Seppi, MD,<sup>1</sup>\* Daniel Weintraub, MD,<sup>2</sup> Miguel Coelho, MD,<sup>3</sup> Santiago Perez-Lloret, MD, PhD,<sup>4</sup> Susan H. Fox, MRCP (UK), PhD,<sup>5</sup> Regina Katzenschlager, MD,<sup>6</sup> Eva-Maria Hametner, MD,<sup>1</sup> Werner Poewe, MD,<sup>1</sup> Olivier Rascol, MD, PhD,<sup>4</sup> Christopher G. Goetz, MD,<sup>7</sup> and Cristina Sampaio, MD, PhD<sup>8</sup>\*

	Efficacy	Safety	Practice implications
Insomnia			
Controlled-release formulation of levodopa/carbidopa	Insufficient evidence	Acceptable risk without specialized monitoring	Investigational
Pergolide	Insufficient evidence	Acceptable risk with specialized monitoring	Not useful
Eszopiclone	Insufficient evidence	Acceptable risk without specialized monitoring	Investigational
Melatonin 3-5 mg	Insufficient evidence	Acceptable risk without specialized monitoring	Investigational
Melatonin 50 mg	Insufficient evidence	Insufficient evidence	Investigational

## Modafanil

### Combined data from 4 of the studies



- Modest ESS improvement
- Cautious use with monitoring?

Trotti and Bliwise Neurotherapeutics 2014

# Early morning motor and overnight sleep

- RECOVER study: Rotigotine v Placebo
- Co-Primary endpoints
  - Problematic early morning motor symptoms
  - PDSS-2 (self-report questionnaire)



#### Trenkwalder et al Mov Disord 2011

# Sleeping with the Enemy!

## Rapid Eye Movement Sleep Behavior Disorder (RBD)

- Loss of normal muscle atonia during REM sleep
- Dream enactment behaviour
- Congruent motor activity
   Punching or shouting
- Injury
  - Make bed environment safe
  - Self & bed partner 33%<sup>1</sup>

ELSEVIER

Contents lists available at ScienceDirect

#### Journal of the Neurological Sciences

journal homepage: www.elsevier.com/locate/jns



Check for updates

## Clonazepam for probable REM sleep behavior disorder in Parkinson's disease: A randomized placebo-controlled trial

Chaewon Shin<sup>a</sup>, Hyeyoung Park<sup>b</sup>, Woong-Woo Lee<sup>c</sup>, Hyun-Jeong Kim<sup>d</sup>, Han-Joon Kim<sup>d,\*</sup>, Beomseok Jeon<sup>d</sup>

Efficacy results (intention-to-treat population).

Outcome measure <sup>a</sup>	Placebo $(n = 20)$	Clonazepam $(n = 17)$	<i>p</i> -value <sup>b</sup>
Primary outcome: CGI-I score Week four, median (min, max) <sup>c</sup> Week four, mean (SD)	3 (1,6) 2.95 (1.36)	2 (1,5) 2.47 (1.23)	0.253

## Movement Disorders

Official Journal of the International VIV Parkinson and Movement Disorder Society

### Melatonin for Rapid Eye Movement Sleep Behavior Disorder in Parkinson's disease: A Randomised Controlled Trial

Moran Gilat PhD, Alessandra Coeytaux Jackson MD, Nathaniel S. Marshall PhD, Deborah Hammond RN, Anna E. Mullins PhD, Julie M. Hall MSc, Bernard A.M. Fang MD, Brendon J. Yee MD, Keith K.H. Wong MD, Ron R. Grunstein MD, Simon J. G. Lewis MD 🗙 https://doi.org/10.1002/mds.27886

## Movement Disorders

Official Journal of the International VIV Parkinson and Movement Disorder Society

### Melatonin for Rapid Eye Movement Sleep Behavior Disorder in Parkinson's disease: A Randomised Controlled Trial



## Restless Legs Syndrome

- Weak association between PD and RLS
  - Case-control study including PSG
  - 400 study subjects
  - RLS: PD (3%) Controls (0.5%) in controls) Loo & Tan J Neurol Sci 2008
- RLS screening
  - Iron studies, neuropathy screen
  - Vascular studies (doppler)
  - Nerve conduction studies
# Restless Legs Syndrome

- First line dopaminergic therapy
- Meta-anaylsis demonstrated benefits
  - Dopamine agonists
  - L-dopa
  - Gabapentin
  - Pregabalin
  - Oxycodone (only one RCT) Hornyak et al Sleep Med Rev 2014

# Restless Legs Syndrome

- Pregabalin
  - 52 week RCT
  - Pregabalin 300mg
  - Pramipexole (0.25-0.5mg)
  - Placebo
- Pregabalin
  - Reduced symptoms compared with placebo
  - Augmentation rates were lower than with pramipexole

Allen et al NEJM 2014

#### Frontotemporal Dementia

- Severe sleep fragmentation
  - bvFTD>Language variants
  - May be correlated with other hypothalamic disturbance (hyperphagia)
- Insomnia
  - Circadian disruption not well characterised
- Excessive daytime sleepiness
- Supportive management

## Motor Neurone Disease

- Respiratory failure
  - Major prognostic indicator
  - May have normal respiratory function
  - May have no signs of diaphragmatic denervation
- Non-invasive positive pressure ventilation (NIV)
  - Improves respiratory symptoms, quality of life, and survival
  - Should be offered to all patients at early stage

Ahmed et al Sleep Med Rev 2016

# Managing Sleep Fragmentation

- Nocturia
  - Urology referral if voiding ≥2 times per night
- Depression and Anxiety
- Medication review
  - Corticosteroids, beta-blockers
- Non-pharmacological
   Exercise, stretching
- Avoid alerting events
  Devices (blue light)

# Summary

- Common: Over 60% of patients
- Quality of Life
- Trigger for residential care
- More research needed

# <section-header>

#### https://brainmasterclass.com.au OCTOBER 9<sup>TH</sup> & 10<sup>TH</sup> 2021